

2643

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/924,617	
	Filing Date	August 8, 2001	
	First Named Inventor	Bhavesh N. Desai	
	Group Art Unit	2643	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	80	Attorney Docket Number	ATT-026BUS

ENCLOSURES (check all that apply)		
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bhavesh N. Desai, et al.

Appl. No. : 09/924,617

Filed : August 8, 2001

Entitled : TRANSMIT AND RECEIVE SYSTEM FOR A  
CABLE DATA SERVICE

Docket : ATT-026BUS

Group Art Unit: 2643

Examiner: Not Yet Assigned

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Date of Signature  
and Mail Deposit

By: 

Christopher S. Daly  
Reg. No. 37,303  
Attorney for Applicant(s)

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[ X ] (1) The enclosed Information Disclosure Statement is being filed within three months of the filing date or within three months of the entry of the national stage

of the above-identified application or before the mailing of a first Office Action on the merits. Accordingly, Applicant(s) believes that no fee or certification is required.

☐ (1a) Applicant(s) believe the enclosed Information Disclosure Statement is entitled to the benefit of 37 C.F.R. § 1.97 (b) (3). Accordingly, Applicant(s) believe that no fee or certification is required.

☐ (1b) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:

☐ a certification under 37 C.F.R. § 1.97(e); ☐ the fee set forth in § 1.17(p).

PETITION UNDER 37 C.F.R. § 1.97(d)

☐ (2) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a certification under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee of \$130.00 required under 37 C.F.R. § 1.17(i).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e) (1)

☐ (3) The undersigned hereby certifies that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of this statement.

CERTIFICATION UNDER 37 C.F.R. § 1.97 (e) (2)

☐ (4) The undersigned hereby certifies that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counter part foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual having a

duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

By: 

Christopher S. Daly

Reg. No. 37,303

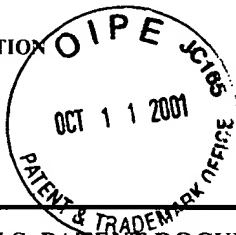
Attorney for Applicant(s)

Dated: 30CT01

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



APPLICANT

Bhavesh N. Desai, et al.

FILING DATE

July 8, 2001

GROUP

2643

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
		5	7	7	8	1	7	4	7/7/1998	Cain			
		6	0	5	5	2	3	6	4/25/2000	Nessett et al.			
		6	2	3	0	3	2	6	5/8/2001	Unger et al.			

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

		: Ciciora, Walter S., "The Cable Modem," IEEE Spectrum, pages 48-53, June 2001
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Examiner

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.